

Compliance Audit Checklist

Instruction to team: Please attach this form to the team report. Missing documents should be noted in the recommendations section of the team report.

Name of Institution: University of California, Merced

Date of Visit

Candidacy CPR:

Candidacy EER:

Initial Accreditation CPR:

Initial Accreditation EER: March 8-10, 2011

For each CFR below, please find a list of supporting documents. All documents are available electronically within the CFR-labeled folders of this PDF portfolio. Many are also available online and can be accessed via blue hyperlinked text.

Within each folder, the documents are organized by the numbers that follow each document title provided below. For documents originally submitted as part of the Capacity and Preparatory Review, but that have been updated, the original Exhibit, Stipulated Policy, Table, or File number has been retained. For documents newly added for the Educational Effectiveness Review, a new number has been provided. ***Files that have been updated or newly added are distinguished by an underlined exhibit number.*** Finally, the UC Merced General Catalog is provided as evidence for several CFRs. Because of its size, the PDF has only been provided once and can be located as Exhibit 500 in Folder 1.2.

CFR	Documents Required	I.A.	
		CPR	EER
Standard 1			
1.1	Mission statement <ul style="list-style-type: none"> • Mission Statement (Exhibit 2) 		
1.2	Educational objectives at the institutional and program levels <ul style="list-style-type: none"> • Eight Guiding Principles of General Education (Exhibit 11) • Undergraduate and Graduate PLOs (Program Learning Outcomes) Fall 2010 (Exhibit 23) • Program Learning Outcomes in the UC Merced General Catalog 2009-2011 (Exhibit 500) 		

CFR	Documents Required	I.A.	
		CPR	EER
1.2.1	Public statement on student achievement (retention, graduation, student learning) <ul style="list-style-type: none"> • UC Merced Accountability Profile (Exhibit 5) • Institutional Planning and Analysis Students Statistics Webpage (Exhibit 41) • UC Merced Profile (Exhibit 42) • UC Accountability Report Website 2010 (Exhibit 44) • UC Merced IPA Survey Results and Analysis Website (Exhibit 268) 		
1.3	Organization chart (X 3.8, 3.9, 3.10) <ul style="list-style-type: none"> • UC Merced Administrative Organization Charts. All except Student Affairs are available online and can be reached via the underlined hyperlinks on each chart. (Exhibit 396) 		
1.4	Academic freedom policy <ul style="list-style-type: none"> • UC Academic Personnel Manual Policy on Academic Freedom (APM-010) (Exhibit 56) • Academic Freedom Policy for Non-Senate Faculty (Exhibit 57) 		
1.5	Diversity policies and procedures; Procedures for Special Accommodations <ul style="list-style-type: none"> • UC Policy Regarding Academic Appointment Non-Discrimination (APM-035) (Stipulated Policy 10-29) • UC Personnel Policies for Staff, Nondiscrimination in Employment, SPP 12 (Stipulated Policy 10-31) • UC Policies Applying to Campus, Organizations and Students (Stipulated Policy 10-5) • UC Merced Graduate Students Rights and Responsibilities (Stipulated Policy 10-23) • UC Merced Academic Policies and Procedures, Section 200, Recruitment (Exhibit 324) • UC Merced Academic Policies and Procedures, Section 101-3, Academic Senate Titles, Diversity Goals and the Search Plan (Exhibit 568) • UC Merced Disability Services Handbook (Exhibit 87) • Office of Disability Services FAQs (Exhibit 88) 		
1.6	-	-	-
1.7	Catalog (online ____, hard copy ____) with complete program descriptions, graduation requirements, grading policies (X 2.10.1) <ul style="list-style-type: none"> • UC Merced General Catalog 2009-2011 (Exhibit 500, see folder 1.2) 		
1.7.2	Student complaint and grievance policies <ul style="list-style-type: none"> • UC Merced Student Handbook (Exhibit 21) 		
1.7.2.1	Policy for grade appeals <ul style="list-style-type: none"> • Policies and Procedures for Maintaining the Integrity of Grades Including Grade Appeals (Exhibit 106) 		
1.7.2.2	Records of student complaints <ul style="list-style-type: none"> • A statement summarizing student grievances including the number, concerns and resolutions (Exhibit 501) 		

CFR	Documents Required	I.A.	
		CPR	EER
1.7.3	Faculty grievance policies <ul style="list-style-type: none"> • UC Faculty Grievance Policy (Bylaw 335) (Exhibits 136, 137) • UC Merced Faculty Grievance Form (Exhibit 138) • UC Academic Personnel Manual: Non-Senate Academic Appointment Grievances (APM-140) (Stipulated Policy 10-12) • Grievance Policies for Non-Senate Faculty (Article 32 UC-AFT Contract) (Stipulated Policy 10-13) 		
1.7.3.1	Record of faculty grievances <ul style="list-style-type: none"> • No grievances. 		
1.7.4	Staff grievance policies <ul style="list-style-type: none"> • UC Personnel Policies for Staff Members: Complaint Resolution (Stipulated Policy 10-15) • UC Personnel Policies for Staff Members: Resolution of Concerns, Managers and Senior Professionals (Stipulated Policy 10-16) • UC Personnel Policies for Staff Members: Resolution of Concerns, Senior Management (Stipulated Policy 10-17) • UC Bargaining Agreements (Stipulated Policy 10-19) 		
1.7.4.1	Record of staff grievances and complaints <ul style="list-style-type: none"> • Summary of staff grievances and complaints including the number, concerns and resolutions from 2007-2009. (Exhibit 557) 		
1.7.5	Employee handbook <ul style="list-style-type: none"> • UC Merced Faculty Handbook (Stipulated Policy 10-27) • UC Faculty Handbook (Stipulated Policy 10-28) • UC Merced School of Natural Sciences and School of Social Sciences, Humanities and Arts Handbooks (Stipulated Policy 10-97) • UC Personnel Policies for Staff (Stipulated Policy 10-20) 		
1.7.6.1	Up-to-date student transcripts with key that explains credit hours, grades, levels, etc. <ul style="list-style-type: none"> • Example student transcript (Exhibit 502) 		
1.7.6.2	Admissions records that match stated requirements; complete files <ul style="list-style-type: none"> • Example undergraduate records from the 2009 Fall application cycle: files of two freshman applicants admitted and enrolling; complete files of two transfer applicants admitted and enrolling; and one freshman and one transfer applicant neither admitted. (Exhibit 503.1-503.6) • Example graduate records: files from two admitted and two denied applicants. (Exhibit 504.1-504.4) 		
1.7.6.3	Policies and procedures to protect the integrity of grades <ul style="list-style-type: none"> • Policies and Procedures for Maintaining the Integrity of Grades Including Grade Appeals (Exhibit 106) 		
1.7.6.4	Tuition and fee schedule <ul style="list-style-type: none"> • UC Merced Fee Schedule (Exhibit 112) 		

CFR	Documents Required	I.A.	
		CPR	EER
1.7.6.5	Policies on tuition refunds <ul style="list-style-type: none"> • UC Merced General Catalog 2009-2011, p.27 (Exhibit 500, see folder 1.2) • Fee refund policy website (Exhibit 113) 		
1.8	Regular independent audits of finances (X 3.5) <ul style="list-style-type: none"> • 2008-09 UC Consolidated Financial Report (File 11-1) • 2008-09 Management Letter (File 11-2) • 2009-10 UC Consolidated Financial Report (File 11-3) • 2009-10 Management Letter (File 11-4) 		
1.9	WASC-related policies to ensure sub change policies <ul style="list-style-type: none"> • UC Merced Undergraduate Council Policy for Review and Approval of New Degree Programs (Exhibit 141) • UC Merced Graduate and Research Council Policies and Procedures for Review of New Graduate Emphasis Areas and Graduate Group Proposals (Exhibit 142) • UC Merced Graduate and Research Council Graduate Course Approval and CRF Process (Stipulated Policy 10-42) • School of Engineering Curriculum Committee Substantive Change Policy (Exhibit 143) • School of Natural Sciences Curriculum Committee Policies and Procedures (Exhibit 505) • School of Social Sciences Humanities and Arts Curriculum Committee Policies and Procedures (Exhibit 562) 		
Standard 2			
2.1	List of degree programs, showing curriculum and units for each (X 1.7) <ul style="list-style-type: none"> • UC Merced General Catalog 2009-2011 (Exhibit 500, see folder 1.2) 		
2.2	Complete set of course syllabi for all courses offered <ul style="list-style-type: none"> • As per Barbara Wright, our WASC liaison, a set of the syllabi for programs that have or are currently undergoing program review were provided in the team room during the ERR Site Visit. A complete set was provided in the team room during the CPR Visit. 		
2.2.1	(For associate and bachelor's degrees) statement of general education requirements (X 1.7) <ul style="list-style-type: none"> • UC Merced General Catalog 2009-2011, pgs. 56, 61, 75, 104 (Exhibit 500, see folder 1.2) 		
2.3	SLOs for every program <ul style="list-style-type: none"> • Eight Guiding Principles of General Education (Exhibit 11) • Undergraduate and Graduate PLOs (Program Learning Outcomes) Fall 2010 (Exhibit 23) • Program Learning Outcomes in the UC Merced General Catalog 2009-2011 (Exhibit 500, see folder 1.2) • Student Affairs Assessment Plan including each unit's mission statement, goals, two program objectives, two learning outcomes and associated measures (Exhibit 506) 		
2.4	-	-	-

CFR	Documents Required	I.A.	
		CPR	EER
2.5	-	-	-
2.6	-	-	-
2.7	<p>Program review process with clear criteria, which include assessment of program retention/graduation and achievement of learning outcomes</p> <ul style="list-style-type: none"> • Undergraduate Program Review Policy (Exhibit 29) • Graduate Program Review Policy (Exhibit 30) • Student Affairs Program Review Guidelines (Exhibit 245) • Administrative Annual Assessment and Periodic Review Policy (Exhibit 563) 		
2.7.1	<p>Regular schedule of program review (including for non-academic units)</p> <ul style="list-style-type: none"> • Undergraduate Program Review Schedule (Exhibit 564) • Plans for graduate program review (Exhibit 565) • Student Affairs Program Review Schedule 2009-2015 (Exhibit 507) • Administrative unit periodic review schedule (Exhibit 566) 		
2.8	<p>Policies re faculty scholarship and creative activity</p> <ul style="list-style-type: none"> • UC Academic Personnel Manual (APM): Appointment and Promotion: Review and Appraisal Committees (APM-210) (Exhibit 247) 		
2.9	-	-	-
2.10	<p>Data on student demographics</p> <ul style="list-style-type: none"> • UC Merced Accountability Profile (Exhibit 5) • Institutional Planning and Analysis Students Statistics Webpage (Exhibit 41) • UC Merced Profile (Exhibit 42) • Fall 2008 Undergraduate Enrollments by Ethnicity and UC Campus (Exhibit 67) • Graduate Student Demographics (Exhibit 146) • University of California Student Statistics Website (Exhibit 266) • University of California StatFinder (Exhibit 267) 		
2.10.1	<p>Data on retention and graduation, disaggregated by demographic categories and programs</p> <ul style="list-style-type: none"> • First-Time Freshman Retention and Graduation Rates (Exhibit 39) • Transfer Student Retention and Graduation Rates (Exhibit 40) • Essay IV, "Student Success," of the EER Required Essays (File 2) 		

CFR	Documents Required	I.A.	
		CPR	EER
2.10.2	Collection and analysis of grades at the course or program level, as appropriate <ul style="list-style-type: none"> • UC Merced grade distributions by School and Academic Year for Undergraduate Lower Division, Undergraduate Upper Division, and Graduate Levels (Exhibit 459) • Natural Sciences Math, Chemistry, Physics and Biology Grade Analyses (Exhibits 508, 509, 510, 567) • Writing Program Grade Analysis Summary (Exhibit 511) • Example Grade Reports Available to Schools and Programs (Exhibit 512) 		
2.10.3	Policies on student evaluation of faculty <ul style="list-style-type: none"> • UC Academic Personnel Manual (APM): Appointment and Promotion: Review and Appraisal Committees (APM-210) (Exhibit 247) 		
2.10.4	Forms for evaluation of faculty by students <ul style="list-style-type: none"> • New campus-wide course evaluation form (Exhibit 341) 		
2.11	List of student services and co-curricular activities <ul style="list-style-type: none"> • List of student services and co-curricular activities (Exhibit 513) 		
2.11.1	Policies on financial aid <ul style="list-style-type: none"> • Example financial aid policies including Financial and Scholarship's Vision-Mission statement, Pell Grant, AGC-SMART Grants, Cal Grant, and a listing of other policies. (Exhibit 514) 		
2.12	Academic calendar (X 1.7 catalog) <ul style="list-style-type: none"> • UC Merced Academic Calendar (Exhibit 286) • UC Merced General Catalog 2009-2011, p.4 (Exhibit 500, see file 1.2) 		
2.13	Recruitment and advertising material for the last year <ul style="list-style-type: none"> • UC Merced undergraduate brochures website (Exhibit 289) • UC Merced Visitors Guide (Exhibit 475) • UC Merced Freshman Viewbook (Exhibit 476) • UC Merced Transfer Viewbook (Exhibit 477) • Why UC? Why UC Merced? Booklet (Exhibit 478) • International Admissions Brochure (Exhibit 479) • UC Merced at a Glance (Exhibit 480) • Graduate Brochure (Exhibit 22) • School of Engineering undergraduate brochures (Exhibit 515) • School of Natural Sciences undergraduate brochures (Exhibit 516) • School of Social Sciences, Humanities and Arts undergraduate brochures (Exhibit 517) 		

CFR	Documents Required	I.A.	
		CPR	EER
2.13.1	Registration procedures <ul style="list-style-type: none"> • Office of the Registrar Enrollment Procedures (Exhibit 299) • Registration Help Page (Exhibit 300) • New Student Orientation (including enrollment) (Exhibit 301) 		
2.14	Registration forms <ul style="list-style-type: none"> • Registration forms (Exhibit 518) 		
Standard 3			
3.1	Policies on staff development <ul style="list-style-type: none"> • Employee Development Policies (Exhibit 519) 		
3.2	List of faculty with classifications, e.g., core, full-time, part-time, adjunct, tenure track, by program <ul style="list-style-type: none"> • List of Senate faculty (tenured and tenure track) by School, rank, and area for AY 2010-2011. (Exhibit 520) • List of non-Senate faculty (lecturers) by School and percent appointment for AY 2010-2011. (Exhibit 559) 		
3.3	Faculty hiring policies <ul style="list-style-type: none"> • Merced Academic Personnel Policies and Procedures (MAPP) Section 200: Recruitment (Exhibit 324) • Non-Senate Faculty: Article 7a of the MOU (Exhibit 343) • Merced Academic Personnel Policies and Procedures (MAPP) Section 100: General Policies (Exhibit 521) • Merced Academic Personnel Policies and Procedures (MAPP) Section 300: Appointment Academic Senate Titles (Exhibit 522) • UC Academic Personal Manual (APM) Section III: Recruitment (Exhibit 523) • UC Academic Personnel Manual (APM) Section II: Appointment & Promotion (Exhibit 524) 		
3.3.1	Faculty evaluation policies and procedures (X 2.10) <ul style="list-style-type: none"> • UC Academic Personnel Manual (APM): Appointment and Promotion: Review and Appraisal Committees (APM-210) (Exhibit 247) • Merced Academic Personnel Policies and Procedures (MAPP) 400: Merit Promotion and Appraisal Review (Exhibit 525) 		
3.3.2	Faculty Handbook if available <ul style="list-style-type: none"> • UC Merced Faculty Handbook (Stipulated Policy 10-27) • UC Faculty Handbook (Stipulated Policy 10-28) 		
3.4	Faculty development policies <ul style="list-style-type: none"> • UC Academic Personnel Manual (APM): Benefits and Privileges: Leaves of Absence/Sabbatical Leaves (APM-740) (Exhibit 553) • Merced Academic Personnel Policies and Procedures (MAPP) Section 700: Sabbatical (Exhibit 554) • Non-Senate Faculty: Article 9 of the MOU (Exhibit 526) 		

CFR	Documents Required	I.A.	
		CPR	EER
3.4.1	<p>Faculty orientation policies and procedures</p> <ul style="list-style-type: none"> Academic Personnel & Center for Research on Teaching Excellence Fall 2008 Faculty Orientation Agendas (Exhibit 205) Fall 2009 Orientation Agenda for Academic Personnel (Exhibit 527) Fall 2009 Orientation Agenda the Center for Research on Teaching Excellence (Exhibit 528) Fall 2009 Orientation Agenda the School of Natural Sciences (Exhibit 529) Fall 2009 Orientation Agenda the School of Social Sciences, Humanities and Arts (SSHA) (Exhibit 530) 		
3.4.2	<p>Policies on rights and responsibilities of non-full-time faculty</p> <ul style="list-style-type: none"> UC Academic Personnel Manual (APM): Privileges and Duties of Members of the Faculty (APM-005). The APM applies to all faculty regardless of appointment. (Exhibit 531) 		
3.4.3	<p>Statements concerning faculty role in assessment of student learning</p> <ul style="list-style-type: none"> Undergraduate Program Review Policy (Exhibit 29) Graduate Program Review Policy (Exhibit 30) Undergraduate Council Policy for Review and Approval of New Degree Programs (Exhibit 141) Graduate and Research Council Policy and Procedures for Review of New Graduate Emphasis Areas and Graduate Group Proposals (Exhibit 142) 		
3.5	<p>Audited financial statements (X 1.8)</p> <ul style="list-style-type: none"> 2008-09 UC Consolidated Financial Report (File 11-1) 2008-09 Management Letter (File 11-2) 2009-10 UC Consolidated Financial Report (File 11-3) 2009-10 Management Letter (File 11-4) 		
3.5.1	<p>Appropriate financial records</p> <ul style="list-style-type: none"> UC Merced Operating Budget Summary and Projection for the EER Report (Exhibit 355) Chancellor's Annual Report 2009-2010 (Exhibit 558) UC Merced Memorandum of Understanding with the UC Office of the President (Exhibit 560) UC Office of the President Final Allocations Letter Nov 2010 (Exhibit 561) 		
3.5.2	<p>Appropriate policies and procedures for handling of financial aid (X 2.11)</p> <ul style="list-style-type: none"> Example financial aid policies including Financial and Scholarship's Vision-Mission statement, Pell Grant, AGC-SMART Grants, Cal Grant, and a listing of other policies. (Exhibit 514) 		
3.5.3	<p>Campus maps</p> <ul style="list-style-type: none"> UC Merced General Catalog 2009-2011, p. 211 (Exhibit 500, see file 1.2) Online campus map (Exhibit 532) 		

CFR	Documents Required	I.A.	
		CPR	EER
3.6	Inventory of technology resources for students and faculty <ul style="list-style-type: none"> Required Tables: 5.1a, 5.1b UC Merced Library Facts Webpage (Exhibit 569) 		
3.6.1	If online or hybrid, information on delivery method <ul style="list-style-type: none"> Summary of capacity for delivery of online or hybrid courses. (Exhibit 556) 		
3.6.2	Library data/holdings, size <ul style="list-style-type: none"> Library Information Resources by Format (Exhibit 380) 		
3.7	Inventory of technology resources and services for staff <ul style="list-style-type: none"> Required Tables: 5.1a, 5.1b IT 2009-2010 Annual Report on IT Delivery (Exhibit 533) Business and Finance IT (Exhibit 534) UC Office of the President At Your Service Website (Exhibit 535) Staff Professional Development Opportunities (Exhibit 555) 		
3.8	Organization chart (X 1.3 and 3.1) <ul style="list-style-type: none"> UC Merced Administrative Organization Charts. All except Student Affairs are also available online and can be reached via the underlined hyperlinks on each chart. (Exhibit 396) 		
3.9	Board list <ul style="list-style-type: none"> University of California Board of Regents (Exhibit 536) 		
3.9.1	Board member bios <ul style="list-style-type: none"> University of California Board of Regents (Exhibit 536) 		
3.9.2	List of Board committees <ul style="list-style-type: none"> University of California Board of Regents Standing Committees (Exhibit 537) 		
3.9.2.1	Minutes of Board meetings for last two years <ul style="list-style-type: none"> University of California Board of Regents Meeting Minutes (Exhibit 538) 		
3.9.2.2	Governing board bylaws and operations manual <ul style="list-style-type: none"> Bylaws of the Regents of the University of California (Exhibit 539) 		
3.10	CEO bio <ul style="list-style-type: none"> Chancellor Kang (Exhibit 407.1) 		
3.10.1	CFO bio <ul style="list-style-type: none"> Executive Vice Chancellor & Provost Alley (Exhibit 407.2) 		

CFR	Documents Required	I.A.	
		CPR	EER
3.10.2	<p>Other top administrators' bios (e.g., cabinet, VPs, Provost)</p> <ul style="list-style-type: none"> • Vice Chancellor for Administration, Mary E. Miller (Exhibit 407.3) • Vice Chancellor for Research, Dean of Graduate Studies, Samuel Traina (Exhibit 407.4) • Vice Chancellor for Student Affairs, Jane Fiori Lawrence (Exhibit 407.5) • Interim Vice Chancellor University Relations, David Hosley (Exhibit 407.6) • Associate Vice Chancellor and Chief of Staff, Janet Young (Exhibit 407.7) • Associate Vice Chancellor and Chief Information Officer, Richard Kogut (Exhibit 407.8) • University Librarian, R. Bruce Miller (Exhibit 407.9) • Vice Provost for Academic Personnel, David Ojcus (Exhibit 407.10) • Interim Vice Provost for Undergraduate Education, Jack L. Vevea (Exhibit 407.11) • Vice Provost for Academic Planning, Hans Bjornsson (Exhibit 407.12) • Dean, School of Engineering, E. Daniel Hirleman (Exhibit 407.13) • Interim Dean, School of Natural Sciences, Mike Colvin (Exhibit 407.14) 		
3.10.3	<p>Policy and procedure for the evaluation of president/CEO</p> <ul style="list-style-type: none"> • Academic Senate Policy on Review of Chancellors (Exhibit 403) 		
3.11	<p>Faculty governing body charges, bylaws and authority</p> <ul style="list-style-type: none"> • Standing Orders of the Regents of the UC (Exhibit 401) • Bylaws of the UC Academic Senate (Exhibit 540) 		
3.11.1	<p>Faculty organization chart (if applicable)</p> <ul style="list-style-type: none"> • List of Senate faculty (tenured and tenure track) by School, rank, and area for AY 2010-2011. (Exhibit 520) 		
3.11.2	<p>Minutes of last year's faculty meetings</p> <ul style="list-style-type: none"> • Merced Division of the Academic Senate Minutes (Exhibit 541) • Committee on Academic Planning and Resource Allocation (CAPRA) Minutes 2009-2010 (Exhibit 542) • Graduate and Research Council (GRC) Minutes 2008-2009 (Exhibit 543) • Undergraduate Council (UGC) Minutes 2008-2009 (Exhibit 544) • Divisional Council (DivCo) Minutes 2010 (Exhibit 545) • Faculty of the School of Natural Sciences 2008-2009 (Exhibit 546) • Faculty of the School of Social Sciences, Humanities and Arts 2010 (Exhibit 547) 		
Standard 4			

CFR	Documents Required	I.A.	
		CPR	EER
4.1	Strategic plan <ul style="list-style-type: none"> • UC Merced Strategic Academic Vision (Exhibit 3) • Division of Student Affairs Strategic Plan Update (Exhibit 274) • Division of Administration Strategic Plan (Exhibit 359) • School of Engineering Strategic Plan (Exhibit 320.1) • School of Natural Sciences Strategic Plan (Exhibit 320.2) • School of Social Sciences, Humanities and Arts Strategic Plan (Exhibit 320.3) 		
4.1.1	Operations plan <ul style="list-style-type: none"> • Long Range Development Plan 2009 (Exhibit 375) • Long Range Enrollment Plan (Exhibit 417) 		
4.1.2	Academic plan <ul style="list-style-type: none"> • UC Merced Strategic Academic Vision (Exhibit 3) • School of Engineering Strategic Plan (Exhibit 320.1) • School of Natural Sciences Strategic Plan (Exhibit 320.2) • School of Social Sciences, Humanities and Arts Strategic Plan (Exhibit 320.3) 		
4.2	Description of planning process <ul style="list-style-type: none"> • Responses to CFRs 4.1 and 4.2, including Appendix 4.2.1 of the CPR Report (Exhibit 548) 		
4.2.1	Process for review of implementation of strategic plan <ul style="list-style-type: none"> • August 2009 Strategic Academic Vision (SAV) implementation retreat agenda and notes. (Exhibit 549) 		
4.3	-	-	-
4.4	New program approval process <ul style="list-style-type: none"> • Undergraduate Council Policy for Review and Approval of New Degree Programs (Exhibit 141) • Graduate and Research Council Policies and Procedures for Review of New Graduate Emphasis Areas and Graduate Group Proposals (Exhibit 142) • Response to CFR 4.4 of the CPR Report (Exhibit 550) 		
4.4.1	Program review process (X 2.7) <ul style="list-style-type: none"> • Undergraduate Program Review Policy (Exhibit 29) • Graduate Program Review Policy (Exhibit 30) • Student Affairs Program Review Guidelines (Exhibit 245) • Administrative Annual Assessment and Periodic Review Policy (Exhibit 563) 		
4.5	Description of IR function and staffing <ul style="list-style-type: none"> • Description of the function and staffing of Institutional Planning and Analysis (IPA) (Exhibit 551) 		

CFR	Documents Required	I.A.	
		CPR	EER
4.6	Process for review and analysis of key data, such as retention, graduation (X1.2) <ul style="list-style-type: none"> • Committee on Academic Planning and Resource Allocation (CAPRA) (Exhibit 151) • Admission's Recruitment Report AY 2009-2010 (Exhibit 473) • Appendix B: Student Success Essay from the CPR Report (File 5-2) • Essay IV, "Student Success," of the EER Required Essays (File 2) • Appendix IV B iii of the EER Report (File 5 Appendices I-VI) • Enrollment Management Council (Exhibit 570) • Senate Administration Council on Assessment (Exhibit 571) • Response to CFR 4.6 from CPR Report (Exhibit 552) 		
4.7	-	-	-
4.8	-	-	-

Comments:

Related to Substantive Change			
		I.A.	
		CPR	EER
1	Locations of all off-campus sites and programs offered at such sites (more than 50% of program)	N/A	
1a	Number of students enrolled at such sites	N/A	
1b	Date of first offerings	N/A	
2	Names of all programs for which 50% of the program is offered through distance education	N/A	
2a	Number of students enrolled in each	N/A	
2b	Date each was first offered	N/A	
3	Names of all hybrid programs	N/A	
3a	Number of students enrolled in each	N/A	
3b	Date each was first offered	N/A	

Accuracy and Availability of Records			
		I.A.	
		CPR	EER
	<p>Policies and procedures for students, faculty and staff are stated consistently in all media</p> <p>As can be seen in the Stipulated Policies Portfolio (File 11 of the Capacity and Preparatory Review materials), most policies for students, faculty and staff are available online. In many cases, consistency is ensured because the PDF available online would be the source of a hardcopy. Examples of this include the General Catalog, the UC Merced Student Handbook, the University of California Academic Personnel Manual (APM), and the Graduate Advisor's Handbook. In other cases, policies depicted on websites repeat those in key documents, although the documents are not provided. For example, policies maintained online by the Registrar and those in the General Catalog are the same.</p>		
	<p>Policies, procedures, and information are readily available to relevant constituents</p> <p>As can be seen in the Stipulated Policies Portfolio (File 11 of the Capacity and Preparatory Review materials), most policies for students, faculty and staff are available online. Additionally, all UC Merced academic, administrative and student affairs units maintain websites that make policies, procedures and information readily available to all constituents including, faculty, staff, students (past, present and future), and the public. Diverse examples are provided in the Capacity and Preparatory Report including downloadable versions of brochures, handbooks and other important publications, forms, planning documents (ex. the strategic plans provided under 4.1 and 4.1.2 above), and key student and faculty statistics. Many additional examples are provided within this document.</p>		
	<p>Records are accurate and up to date</p> <p>Information will be provided as requested.</p>		